

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Hans-Ulrich PETEREIT, et al.

SERIAL NO: 10/529,636

GAU: 1611

FILED: March 31, 2005

EXAMINER: Rachael E. WELTER

FOR: METHODS FOR COATING SUBSTRATES FOR PHARMACEUTICAL USES WITH A MIXTURE OF TWO FILM-FORMING COATING AGENTS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the reference(s) cited in an Office Action dated September 3, 2008 which was issued in a corresponding Mexican application and listed on the attached form PTO-1449. Copies of the listed reference(s) are attached, where required, as are either statements of relevancy or any readily available Unedited Computer Generated English translations of pertinent portions of any non-English language reference(s).
- ☐ Credit card payment is being made online (if electronically filed), or is attached hereto (if paper filed), in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ Credit card payment is being made online (if electronically filed), or is attached hereto (if paper filed), in the amount required under 37 CFR §1.17(p).

CERTIFICATION

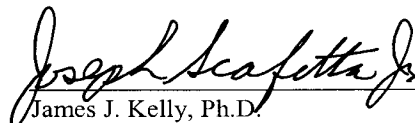
- ☒ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☐ Please charge any additional fees for the papers being filed herewith and for which no payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030.

Respectfully submitted,

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Hans-Ulrich PETEREIT, et al.

SERIAL NO: 10/529,636

GROUP: 1615

FILED: March 31, 2005

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FOR: METHODS FOR COATING SUBSTRATES FOR PHARMACEUTICAL USES
WITH A MIXTURE OF TWO FILM-FORMING COATING AGENTS

STATEMENT OF RELEVANCY

Reference AA (US 5,254,168) on Form PTO-1449:

D1 (US 5,254,168)

D1 describes an apparatus for spray coating discrete particles while such particles are suspended in an apparatus. There is nothing said about simultaneous spraying of separate dispersions of (meth)acrylate copolymers having tertiary amino groups and polymers having anionic groups. There is also nothing said about a device having one or more three fluid nozzles.

Thus D1 can be considered as technical background of the present application. However D1 is not of particular relevance.

Reference AB (US 2002/192285) on Form PTO-1449:

D4 (US2002/0192285A1)

D4 describes a coating composition for coating a solid medicament in a pharmaceutical composition, which coating composition comprises a mixture of a water insoluble polymer and an enteric polymer. The final coating composition is first prepared by mixing the dispersions and then coated onto a drug by conventional spraying methods. There is nothing said about simultaneous spraying of separate dispersions of (meth)acrylate copolymers having tertiary amino groups and polymers having anionic groups. There is also nothing said about a device having one or more three fluid nozzles.

Thus D4 can be considered as technical background of the present application. However D4 is not of particular relevance.

Thus the present invention as claimed is novel and inventive over D1 – D4.

Reference AO (JP 2002-273291 A) on Form PTO-1449:

D3 (JP2002-273291A, machine translation)

D3 describes a coating booth of water soluble paint provided with variable water spray nozzles. Again the technical field is completely different from that of the present application. There is nothing said about simultaneous spraying of separate dispersions of (meth)acrylat copolymers having tertiary amino groups and polymers having anionic groups. There is also nothing said about a device having one or more three fluid nozzles. Thus D3 can be considered as technical background of the present application. However D3 is not of particular relevance.

Reference AP (GB 2 326 609 A on Form PTO-1449:

D2 (GB 2326609)

D2 describes a spray nozzle assembly for spraying a liquid onto a strip of material and particularly a parting liquid onto an aluminium foil substrate. Thus the technical field is completely different from that of the present application. There nothing said about simultaneous spraying of separate dispersions of (meth)acrylat copolymers having tertiary amino groups and polymers having anionic groups. There is also nothing said about a device having one or more three fluid nozzles. Thus D2 can be considered as technical background of the present application. However D2 is not of particular relevance.